

**False Accusation Investigation and Punishment System
(JW-07-2020-ZD)**

False Accusation Investigation and Punishment System

Chapter I General Provisions

Article 1 In order to create a clean and upright environment, establish a normal working mechanism for investigating and punishing false accusation from the institutional level, and promote the high-quality development of the Group, combined with the actual situation of the Group, the System is established in accordance with the *Constitution of the People's Republic of China, Criminal Law of the People's Republic of China, Criminal Procedure Law of the People's Republic of China, Supervision Law of the People's Republic of China, Rules for Work of Handling Whistleblowing and Accusation of Disciplinary Inspection and Supervisory Organs and Provisions of the Supreme People's Procuratorate on the Protection of Citizens' Reporting Rights*.

Article 2 The System is applicable to the handling of false accusations by discipline inspection and supervision organizations at all levels of the Group in reporting, supervision, inspection, and investigation.

Article 3 When false accusations are investigated and punished, the principles of compliance with disciplines and law, seeking truth from facts, protecting legitimate rights, combination of punishment and education shall be adhered to.

Article 4 Reporting the problem by framing others, forging materials, etc., with the intention of causing others to be subject to adverse effects, defamation or accountability is a false accusation.

Article 5 Discipline inspection and supervision organizations shall pay

attention to the distinction between normal whistleblowing and accusation, wrong accusation and false accusation during work, and protect citizens' right to properly exercise supervision.

Where the whistleblower does not have the subjective intention of making a false accusation, and the reporting is inaccurate due to lack of knowledge of the real situation or misunderstanding, the reporting shall not be treated as a false accusation, and the discipline inspection and supervision organizations may conduct suggestion and education as appropriate.

Chapter II Handling of False Accusation

Article 6 The inspection of clues on false accusation shall be implemented by relevant departments in accordance with laws and regulations;

Article 7 In the process of inquiry, preliminary verification, and investigation on problem clues, where it is found that the whistleblower is suspected of false accusation, discipline inspection and supervision organizations of the Group at all levels shall handle the false accusation in the following manner after all relevant problem clues on the person being reported have been verified to be untrue:

(I) For a real-name report, if the whistleblower is a member of the Communist Party of China or a target of supervision, the supervision, inspection, investigation, cadre supervision departments of discipline inspection and supervision organizations with jurisdiction shall start the verification work on the problem clues of the false accusation after approval; if the whistleblower is the person other than a member of the Communist Party of China or a target of supervision,

it shall be transferred to the public security organs in accordance with relevant regulations.

(II) For an anonymous report, the department responsible for verifying problem clues about the person being reported shall first investigate the identity of the whistleblower after approval, and then handle it in accordance with the preceding provisions according to the actual situation.

Article 8 If the anonymous whistleblower is suspected of false accusation in violation of discipline or law, where it is indeed necessary to investigate the identity by means of verification of handwriting, and Internet Protocol address (IP address), etc., the investigation shall be approved by the discipline inspection and supervision commission at or above the municipal level in the region.

Article 9 During the verification process, the discipline inspection and supervision organizations shall collect the evidences proving that the false accusation is constituted, and the evidences proving that the false accusation is not constituted, so as to ensure clear facts, conclusive evidences, accurate determination, proper handling, complete formalities, and procedures complying with regulations.

If it is determined that a false accusation is not constituted, the verification shall be terminated in time. Where new evidence materials are found, verification may be re-conducted upon approval.

Chapter III Accountability for False Accusation

Article 10 If it is determined that a false accusation is constituted, the person committing false accusation shall be held accountable based on the identity and

management authority of the person in accordance with rules, disciplines and laws:

(I) If the person committing false accusation is a member of the Communist Party of China, disciplinary actions within the Party or other punishments shall be imposed depending on the seriousness of the circumstances in accordance with the *Regulation of the Communist Party of China on Disciplinary Actions* and other laws and regulations of the Party;

(II) If the person committing false accusation is the target of supervision, corresponding administrative actions or other punishments shall be imposed depending on the seriousness of the circumstances in accordance with the laws and regulations such as the *Supervision Law of the People's Republic of China*;

(III) The person committing false accusation suspected of violations of laws and regulations of *Criminal Law of the People's Republic of China*, *Public Security Administration Punishments Law of the People's Republic of China*, etc. shall be transferred to the public security organs for handling according to law, and the discipline inspection and supervision organizations shall follow up the handling results.

The above measures may be used individually or in combination according to relevant regulations.

Article 11 Discipline inspection and supervision organizations shall recommend relevant organizations, departments and units to correct the circumstances in accordance with the regulations regarding the positions, grades, titles, academic background, degrees, awards, qualifications and other benefits

obtained through false accusation.

Chapter IV Supplementary Provisions

Article 12 Matters not covered in the System shall be implemented in accordance with national laws and regulations and relevant systems of the Group.

Article 13 The System shall be interpreted by the Discipline Inspection and Supervision Department of the Group.

Article 14 The System shall be implemented as of the date of issuance.

(The English translation of the system is for reference only and the Chinese version shall prevail in case of any inconsistency between the Chinese version and English translation thereof)